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FORM PTO-1449 U.S. DESCRIPTION OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)

> INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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ATTY. DOCKET NO. 53087-5003

SERIAL NO. 09/842,268

APPLICANT Gunter, et al.

FILING DATE

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	С	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44		
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25		
	Е	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25		
	F	5	9	6	0	0	8	5	09/28/99	De la Huerga	380	25		
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13		
	Н	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186		
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Draw line through citation if not in conformance and not considered. Include copy of the CEIVED EXAMINER: Initial considered D form with next communication in the placant. ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED) APPLICANT INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) FILING DATE **GROUP** (37 C.F.R. § 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE IF APPROPRIATE ISSUE SUBCLASS EXAMINER PATENT NUMBER DATE **PATENTEE CLASS** 0 5 5 7 9 2 0 05/26/98 Misra et al. 380 25 P 5 7 5 4 6 5 4 05/19/98 Hiroya et al. 380 24 7 Q 5 6 9 4 4 1 12/02/97 Chen et al. 380 25 7 7 4 R 5 4 1 2 1 05/02/95 Fischer 380 9 425 S 5 3 3 4 0 3 08/16/94 Parker 395 T 5 2 6 1 0 0 2 11/09/93 Perlman et al. 380 30 U 5 3 1 5 6 5 7 05/24/94 Abadi et al. 380 25 7 30 ٧ 5 2 1 4 0 2 05/25/93 Fischer 380 W 7 09/19/89 Fischer 380 25 4 8 6 8 8 7 380 5 5 03/28/89 235 X 8 1 6 6 Musyck et al. 4 380 25 Y 5 6 5 2 6 8 03/25/97 Bisbee et al. FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION TRANSLATION PUBLICATION DATE COUNTRY OR SUBCLASS **CLASS** DOCUMENT NUMBER PATENT OFFICE NO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Z Networks, October 2000, pp. 1-19. Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May AA 1996, pp. 1-23 Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO BB STRANGERS", pp. 1-13. DATE CONSIDERED 1-PH/1462764.2

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/842, 263	
Filing Date	04/25/01	
First Named Inventor	Gunter	
Group Art Unit	2131	
Examiner Name		
Attorney Docket Number	53087-5003	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT Gunter et al.

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(37 C.F.R. § 1.98(b)) April 25, 2001 U.S. PATENT DOCUMENTS FILING DATE IF ISSUE SUBCLASS APPROPRIATE PATENT NUMBER DATE **PATENTEE** CLASS 2 4 04/03/01 Α 6 2 6 3 Greer, Jr et al 1 В 5 5 3 0 2 3 5 06/25/96 Stefik et al. PECEIVED 2003 Center 2100 C 4 2 5 6 4 6 3 09/03/02 Mellmer D 5 5 8 9 9 3 12/10/96 3 Foster et al. Е 6 3 9 3 5 6 5 05/21/02 Lockhart et al. F 6 4 3 8 6 0 0 08/20/02 Greenfield et al. 7 G 5 5 7 2 0 11/19/96 Penzias Н 5 2 0 0 2 6 4 06/15/93 Gasser et al. 3 2 8 2 8 08/28/01 1 6 1 Harris et al. 7 0 7 3 0 0 1 01/17/02 Callagahn et al. K 0 2 8 9 0 3 09/12/02 1 Kernahan 3 0 0 2 6 03/14/02 DeWolf et al. FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION TRANSLATION **PUBLICATIO** COUNTRY OR N DATE SUBCLASS DOCUMENT NUMBER PATENT OFFICE **CLASS** NO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming Μ (LNCS), 1999 Springer-Verlag, pp. 1-25. **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation considered. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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RECEIVED Substitute for form 1449A/PTO Complete if Known **Application Number** 09/842,268 MATION DISCLOSURE April 25, 2001 AUG 1 2 2003 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Gunter et al. Technology Center 2100 **Group Art Unit** (use as many sheets as necessary) **Examiner Name** 053087-5003 of 1 **Attorney Docket Number** Sheet 1

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